

Glossary of Negotiable Securities - A PDF 转换可能丢失图片或格式
， 建议阅读原文

https://www.100test.com/kao_ti2020/129/2021_2022_Glossaryof_c92_129326.htm

A ABF Hong Kong Bond Index Fund ABF 香港富券指基金
ABF Pan Asia Bond Index Fund (Paif) 沛富基金
abnormal item 非正常目
about order 近
above par 高於面值
above the line 上
目
Academic Advisory Committee 【SFC】 界委 【】
Academic and Accreditation Advisory Committee (AAAC) 【SFC】 委 【】
accelerated depreciation 加速折
accept order 接
acceptance 承
acceptance house 承行
accommodation bill 融通
票
accommodation charge 融通收
Account Transfer Instruction (ATI) 口移指示
accountability 任承 ; accountants report 告
accounting equation 程式
accounting period 期
accounting practice 例 ; Accounting Society of China (ASC) 中
accounting standard ; accounting treatment 理方式
accounts payable 付
款
accounts receivable 收款
accretion 增值
accrual basis 【accounting】 制 ; 生制* 【】
accrued expenses 用
accrued interest 利息
accrued revenue 收益
accumulated depreciation 累折 ; 累折
accumulated interest 累利息 ; 累利息
accumulated profits 累利 ; 累利
ACI-The Financial Markets of Hong Kong (ACIHK) 香港市公
acid-test ratio 酸性比率
acquisition 收
acquisition of shells 一致
acting in concert 一致
行
active business pursuits 【GEM】 活【板】
active market 交投
活
actual market 市
actual price 实际
actual useful life 可用年期
actuary 精
算
ad valorem stamp duty 印花
add-on 附加利益 ; 惠
additional
AMS terminal user 附加自端用家
additional margin 外按
金
Adjudication Division 裁科
Adjudicator 裁
adjustable rate

mortgage (ARM)息按揭adjusted basis整基adjusted net assets整後
值adjusted net tangible assets整後有形值adjustment
整administering bank承行；行admissible securities value券的可
值admitted value可值advance款advance booking form排期申表
格Advertising Guidelines for Collective Investment Schemes 《集合
投的告宣指引》 Advertising Guidelines for Pooled Retirement
Funds 《集退休基金的告宣指引》 Advisory Committee 【SFC】
委【】 Advisory Specialist Group家小affiliate. affiliated company公
司after market / aftermarket二市after-hours dealing收市後交
易after-tax profits後盈利；後利；後溢利after-tax real rate of
return後回率afternoon session午市agency account代理口；代客
口Agency Share Transfer System 【Mainland China】代股份系【
中地】 agency trade交易；代客agent代理人aggregate auction集
合aggregate market value市值aging analysis of accounts分析alert
message息提示Alert Messge Service 短提示服 All Ordinaries Index
(AOI) 所有普通股指all-or-none order全部完成或放allotment配
；股份配allottee配人allowance 【accounting】抵【】 allowance
for bad and doubtful debts及呆抵alpha coefficientalpha alternate替
任人alternative investment另投Alternative Investment Market
(AIM) 【UK】另投市【英】 amalgamation合Amended and
Restated Addendum to Memorandum of Understanding Governing
Listing Matters上市事宜解忘篇(重版)American Chamber of
Commerce (AMCHAM)美商American depository receipt (ADR)
美券；美存托* American Stock Exchange (AMEX)美券交易
所American style option美式期amortisationAMS order book自系
未完成AMS Second Terminal自系第二端annual accounts年度

目annual general meeting (AGM)股周年大；年度股大* annual report年Appeals Panel【SFC】上委【】appetite for risk容量Application Programming Interface (API)用程式界面Applied Research Fund用研究基金appreciation增值appropriation款approved borrower核准借入人approved deposit fund可存款基金approved lending agent核准借出代理人approved redeemable share核准可回股份arbitrage套戥；套*；套利*arbitrary scale up method意提增法arithmetic average. arithmetic mean【statistics】算平均【】arms length transaction公正交易arrears欠款；欠款articles of association章程；ASEAN Free Trade Area (AFTA)盟自由易ASEAN Regional Forum盟地Asia-Pacific Central Securities Depository Group (ACG)太中央券存管Asia Pacific Economic Cooperation (APEC)太合作Asia Pacific Loan Market Association太款市Asia Pacific Regional Committee (APRC) of IOSCO太委Asian and Oceanian Stock Exchanges Federation (AOSEF)洲及大洋洲券交易所 Asian Development Bank (ADB)洲行Asian dollar bond洲美元券Asian dollar market洲美元市Asian Securities Analysts Council (ASAC)洲券分析公Asian Securities Analysts Federation洲券分析家ask price. asked price沽；assetasset allocation配；度asset coverage覆率；保asset injection注入asset management company 管理公司 asset stripper「拆骨家」asset stripping拆；拆asset swapasset-backed securities (ABS)抵押券；有支持的券；保券* assignee受人；承人；分配人assignmentassignment【options】指定分配【期】assignor人assistant supervisor【GEM】助理主管【板】associate人士associate. associate company. associated company公司；公司*

associated person 相人 Association Cambiste Internationale 外
同 Association for Investment Management and Research (AIMR) 投
管理研究 Association of Chartered Certified Accountants, The 特公
公 Association of International Accountants, The 公 Association of
National Numbering Agencies (ANNA) Association of South-East
Asian Nations (ASEAN) 南家 () Association of Stockbrokers in
Hong Kong (1891) 香港 (1891年) at-auction limit order
限 at-auction order at-best order 最佳格 at-the-close order 收市 ;
尾 at-the-money option 平期 ; 等期 at-the-opening order 市 ; ATI
Batch File Transfer 口移指示整批案送 attributable equity interest
股 auction 投 auction 【 = open outcry 】 口 【 = 公喊 】 auction
market 市 Audit Committee 【 SFC 】 核委 【 】 Audit Committee 核
委 Audit Investigation Board (AIB) 查委 audit test 稽核 audit trail 核
索 ; 覆核 / 追查根 audited accounts 核目 auditor 核 ; *auditors
report 核告 austerity measures 措施 Australian Securities and
Investments Commission (ASIC) 澳洲券及投察委 (澳洲
) Australian Stock Exchange (ASX) 澳洲券交易所 authorised
capital 法定股本 authorised clerk 出市 ; 出市代表 authorised fund
可基金 authorised representative 授代表 authorised user 可
用 automatched stock 自配股份 automated screen trading system
(AST system) 自幕交易系 automatic exercise 【 options 】 自行使 【
期 】 Automatic Order Matching and Execution System (AMS) 自及
成交系 (自系) Automatic Order Matching and Execution System
/ Second Generation (AMS/2) 第二代自及成交系 (第二代自
系) Automatic Order Matching and Execution System / Third
Generation (AMS/3) 第三代自及成交系 (第三代自系) average

daily turnover平均每日成交Average Return Warrant平均算
average value order平均 100Test 下载频道开通，各类考试题目
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