

Glossary of Negotiable Securities-C[1] PDF转换可能丢失图片或格式，建议阅读原文

[https://www.100test.com/kao\\_ti2020/129/2021\\_2022\\_Glossaryof\\_c92\\_129334.htm](https://www.100test.com/kao_ti2020/129/2021_2022_Glossaryof_c92_129334.htm)

C cabinet bid 微值入；微值交易 cabinet trade 微值交易  
Cadbury Committee 【UK】伯里委 【英】 Cadbury Report 【UK】伯里告 【英】  
Calculated Opening Price (COP) 定市  
格 calendar spread 【options】跨期 【期】 call auction 集合 call  
market 短期同拆放市 call option 期；入期\* call premium 【bonds  
】回溢 【券】 call price 【CBBC】收回 【牛熊】 call spread  
derivative warrant 跨衍生 call warrant；回 call writer 期沽出  
者 call-over 公作 Callable Bull/Bear Contract (CBBC) 牛熊 cancelled  
share 股份 candlestick chart；柱 capital account 本；本目\* capital  
adequacy 本充裕程度 capital adequacy ratio 本充裕/充足比  
率 capital adjustment 本整 capital appreciation 本增值 capital  
expenditure 本支出 capital formation 本集；集 capital gain 本增值  
；本增益 capital gains tax (CGT) 本增值；本增益 capital loss  
本 capital market 本市 capital plus warrant 本增值 capital  
preservation product 保本品 Capital Protected Instrument (CPI) 保  
本 capital reserve 本 capital value 本值 capitalisation 本化；市  
值 capitalisation issue 本化行；金股本化 capitalisation of interest 利  
息本化 carried forward 【accounting】 【】 carry trade 利差交易；  
套利交易 cash alternative 金 cash client 金客 cash commission rebate.  
cash rebate 金回佣 cash company 金公司 cash flow 金流向；金；金  
流\* cash flow statement 金流表 cash market 市 Cash Market  
Consultative Panel 市小 cash marks 金差款 cash on delivery (COD)  
付；金交收 cash prepayment service 款服 cash price cash settled ELI

以金折算的息股 cash settlement 金交收；金算\* cash shell 金股公司 "Caveat Emptor" (let the buyer beware) 「者自」原 CCASS/3 新一代中央算系 CCASS Depository 中央算系券存管 CCASS Guarantee Fund 中央算系保基金 CCASS Internet System 中央算系互系 CCASS Operational Procedures 《中央算系作程序》 CCASS Participant amp. Clearing Co. Ltd. (CDC) 中央登算有限公司(中央登算公司) China Insurance Regulatory Commission (CIRC) 中保督管理委 (中保) China International Economic and Trade Arbitration Commission 中易仲裁委 China SAFE Investments Ltd 中央金投有限公司 China Securities Depository & Clearing Corporation Limited (CSDCC) 中券登算有限公司 (中算公司) China Securities Free Floating Index 中流通指 China Securities Index Co., Ltd 中指有限公司 China Securities Investor Protection Fund Corporation Limited 【Mainland China】 中券投资者保基金有限公司 [中地] China Securities Regulatory Commission (CSRC) 中券督管理委 (中) China Securities Regulatory Commission Official Bulletin 【publication】 《中券督管理委公告》 【刊物】 China Stock Markets Web 中券市 Chinese Association of Securities Investment Funds, The (CASIF) 中券基金公司 Chinese depository receipt (CDR) 中券；中存托\* Chinese Gold and Silver Exchange Society, The 金易 Chinese Institute of Certified Public Accountants (CICPA) 中 Chinese News System 中文系 Chinese Wall 能分制度；能分管制度 choice market making 差交易方法 churning 密交易 circuit breakers 跌停板措施；自交易制 circuit fee 路 Circular on Provision of Financial Information on the Internet - Licensing Requirements 【SFC】 有在互上提供的通告 -

牌定【】claim notice索通知class action shareholder lawsuit股  
集classified voting system分表制度claw back mechanism回制Clear  
Talk【publication】《算》【刊物】clearing算；清算\*Clearing  
Agency Participant【CCASS Participants】算者【中央算系者  
】clearing agreement算Clearing Consultative Panel算小clearing  
house算所Clearing House Automated Transfer System (CHATS)票  
交所自系Clearing House Electronic Subregister System (CHESS)  
【Australia】算所子附登系【澳洲】Clearing Participant  
【options trading】算者【期】clearing price算；清算\*Clearing  
Service Call Centre 算服中心 client account客口Client Identity  
Rule【SFC】客身分【】client margin客按金client server  
architecture客伺服器clients agreement客；客合close out平；  
清closed-end fund端式基金；封式基金\* Closer Economic  
Partnership Arrangement (CEPA) 更密安排closing buy【options  
】平入【期】closing contract平合closing price收市；收closing  
sale【options】平出【期】closing stock期末存closing transaction  
平交易closure of books. closure of register停理登手cluster server群  
集式伺服器Coalition on Service Industry【Hong Kong General  
Chamber of Commerce】服【香港商】Code of Banking Practice  
【HKMA】《行守》【金管局】Code of Best Practice《最佳用  
守》Code of Conduct《操守》Code of Conduct for Persons  
Licensed by or Registered with the Securities and Futures  
Commission《券及期事察委持牌人或人操守》Code of  
Conduct for Share Registrars【SFC】《股份登操守》【】Code  
of Conduct for Sponsors and Independent Financial Advisers《保  
人及立的操守》Code of Conduct Regulations《操守例》Code

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Investment-linked Assurance Schemes 《投有的人保守》 Code on  
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