

Glossary of Negotiable Securities-C[2] PDF转换可能丢失图片或格式，建议阅读原文

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CCode on Real Estate Investment Trusts 《房地投信基金守》 Code on Share Repurchases 《公司回本身股份守》（《股份回守》） Code on Takeovers and Mergers 《公司收及合守》 Code on Unit Trusts and Mutual Funds 《位信及互惠基金守》 cold shoulder order 冷淡待令 collateral 抵押品；保物* collateral eligibility 抵押品格 Collateralised Bond Obligation (CBO) 券抵押券 Collateralised Loan Obligation (CLO) 款抵押券 collateralised repo 押式回* collateralised warrant 有抵押 collective investment fund 集投资基金 collective investment scheme (CIS) 集投 Collector of Stamp Revenue 印花署署 co-manager 席人 combination order 合；合 combined net tangible assets 合有形值 combo order 合；合 comfort letter 明；信心保；料印工作函 commercial paper 商票 commission rebate 佣金回扣；回佣 Commissioner of Insurance 保理 Committee of Payment System 【HKMA】支付系小委【金管局】 Committee on Payment and Settlement Systems, The (CPSS) 支付及算系委 Committee on Unit Trusts 【SFC】位信委【】 commodities dealer 商品交易商 Commodities Trading Ordinance 《商品交易例》 Commodity Exchanges (Prohibition) Ordinance 《商品交易所（禁止）例》 Commodity Futures Trading Commission (CFTC) 【US】商品期交易委【美】 Common Collateral Management System (CCMS) 共同抵押品管理系 common nominee 共用代理人 "common nominee" share certificate 「共用代理人」股票 Common Risk Management

System共同管理系communication status message line通路示
行Companies (Amendment) Ordinance 2004 《2004年公司(修)例
》 Companies (Summary Financial Reports of Listed Companies)
Regulation 《公司(上市公司的摘要告)例》 Companies Registry
公司Company Bulletin Board 【UK】 公司交易板 【英
】 Compensation Committee委Compensation Fund Reserve
Account基金compliance adviser 合 compliance officer 【GEM】 法
主任 【板】 composite order合；合composite risk合composite
table合表composite trades合Comptroller of the Currency 【US】
美元 【美】 compulsory buy-in制Compulsory Stock Borrowing
and Lending Service in CCASS中央算系制券借服Computershare
Hong Kong Investor Services Limited (CHIS)香港中央券登有限
公司concentrated position集中持量concentration risk集
中concentration risk adjustment集中整concept stock概念
股conditional agreement有条件conditional cash offer有条件金收；有
条件金要Conference of Central Securities Depositories (CCSD)中央
券存管Conflict Committee利益突事宜委connected person人
士connected transaction交易consideration代；*consideration
issue代行consideration share代股份consolidated financial
statement合表consolidation合；整合constitution章
程construction-in-progress在建工程Consultation Conclusions on
a Proposed Amendment to the Securities and Futures (Price
Stabilising) Rules in relation to Recognition of Overseas Rules 《建
就可海外修 券及期(定格) 》 Consultation Conclusions On
Draft Practice Note On Overseas Investments By SFC-Authorised
Real Estate Investment Trusts 《於可的房地投信基金的海外投的

用指引草本的》 Consultation Conclusions on Investments in Real Estate Investment Trusts by Collective Investment Schemes Authorised under the Code on Unit Trusts and Mutual Funds 《有根位信及互惠基金守可的集投投於房地投信基金的》

Consultation Conclusions on Proposed Measures to Address Risks Arising from Securities Margin Financing 【SFC】 《於理源自券保金融的的建措施的》 【】 Consultation Conclusions on Proposals to Enhance the Regulation of Listing 《有改善管上市事宜的建》

Consultation Conclusions on the Reduction of Minimum Spreads 《窄最低上落位》 Consultation Conclusions on the Regulation of Sponsors and Independent Financial Advisers 《有保人及立管的》

Consultation Conclusions on the Regulatory Framework for Addressing Analyst Conflicts of Interest 《於理分析利益突的管架的》

Consultation Conclusions on the Review of the Disclosure of Interests Regime under Part XV of the Securities and Futures Ordinance 《有券及期例第XV部的披露益制度的》

Consultation Conclusions on the Review of the Level and Funding of the Investor Compensation Fund, Broker Defaults since 1998 and the Operation of the Investor Compensation Arrangements 《有投者基金的水平及、自1998年以生的事件及投者安排作情的》

Consultation Conclusions to the Consultation Paper on the Regulation of Sponsors and Compliance Advisers 《有管保人及合的》

Consultation Paper on a Proposed Operational Model for a Scripless Securities Market 《有化券市的建作模式的文件》

Consultation Paper on a Review of the Codes on Takeovers and Mergers and Share Repurchases 《有公司收、合及股份回

守 的文件》 Consultation Paper on Legislative Proposals to Establish Financial Reporting Council 《有成立局的立法建》 Consultation Paper on New Structure for Listing Decision-making 《上市策新架文件》 Consultation Paper on Proposals for a Scripless Securities Market 《於建立化券市的建的文件》 Consultation Paper on Proposals to Enhance the Regulation of Listing 《有改善管上市事宜的建文件》 Consultation Paper on Proposed Amendments to the Companies Ordinance to Facilitate Offers of Shares and Debentures 《便利股份或要而就 公司例提出的修建文件》 Consultation Paper on Proposed Amendments to the Securities and Futures (Stock Market Listing) Rules, A 《 券及期(在券市上市) 的建修文件》 Consultation Paper on the Draft Guidelines on Good Disclosure of Securities Services Related Fees and Charges 《券服有的收及用的良好披露指引草本文件》

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