中级金融法律辅导:1933年证券法 PDF转换可能丢失图 片或格式,建议阅读原文

https://www.100test.com/kao_ti2020/290/2021_2022__E4_B8_AD_ E7_BA_A7_E9_87_91_E8_c92_290390.htm 英文: Securities Act of 1933 5. The term "Commission" means the Securities and Exchange Commission.6. The term "Territory" means Puerto Rico, the Virgin Islands, and the insular possessions of the United States.7. The term "interstate commerce" means trade or commerce in securities or any transportation or communication relating thereto among the several States or between the District of Columbia or any Territory of the United States and any State or other Territory, or between any foreign country and any State, Territory, or the District of Columbia, or within the District of Columbia.8. The term "registration statement" means the statement provided for in section 6 of this title, and includes any amendment thereto and any report, document, or memorandum filed as part of such statement or incorporated therein by reference.9. The term "write" or "written" shall include printed, lithographed, or any means of graphic communication. 中文: 《1933年证券法》(5)"委员会" 系指联邦贸易委员会。(6)"准州"系指波多黎各、菲律宾 群岛,巴拿马运河区、维尔京群岛,以及合众国的海岛属地 (7)"州际贸易"是指在几个州之间或哥伦比亚特区或美 国任一准州与任一州或另一准州之间,或外国与任何州、准 州或哥伦比亚特区之间,或在哥伦比亚特区内的证券交易或 贸易及与其有关的运输和通讯。(8)"注册报告书"是指在 第6节中规定的报告书,包括对其的任何修订,以及作为该

报告书一部分或作为参考资料附入该报告书的任何报告、文件或备忘录。(9)"书写"或"书面"应指印制、印刷或任何书写的通讯手段。100Test 下载频道开通,各类考试题目直接下载。详细请访问 www.100test.com