

金融英语基础辅导：Securities Brokerage 证券经纪公司 PDF 转换可能丢失图片或格式，建议阅读原文

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来源：考试大 证券经纪公司是作为中间人为客户买卖不同类型证券的公司。这些证券可能包括股票、债券、共同基金或衍生工具如期权和期货。在证券经纪公司里营销产品和投资建议的员工称为证券经纪人或业务经纪人。证券经纪公司包含很多部门诸如零售部，机构投资部，业务部，研究分析部，投资银行部和法规遵循部。在美国，证券经纪公司的业务活动要遵循证券交易委员会，全美证券交易商协会和证券交易所的规定。许多证券经纪公司是同一个交易所的成员。100Test 下载频道开通，各类考试题目直接下载。详细请访问 [www.100test.com](http://www.100test.com)